

**PHILLIP C. STOCKEN**

Professor of Accounting  
Associate Dean for the MBA program  
Tuck School of Business at Dartmouth  
204A Tuck Hall  
Hanover, NH 03755-9000

EDUCATION

Ph.D., The Pennsylvania State University, 1998  
M Com, University of Witwatersrand, 1995  
B Com (Hons) in Accounting (first class), University of the Witwatersrand, 1988

PROFESSIONAL CERTIFICATION

Chartered Accountant (South Africa) – 1995 to the present  
Member of the South African Institute of Chartered Accountants

DIRECTORSHIPS

West Central Behavioral Health -- Director and Member of Finance Committee: 2011 – present.

PUBLICATIONS (authors listed alphabetically)

Refereed journals:

- Robinson, L. A., and P. C. Stocken. "Location of Decision-Rights within Multinational Firms." *Journal of Accounting of Research*, forthcoming.
- Hummel, P., J. Morgan, and P.C. Stocken. "A Model of Flops." *RAND Journal of Economics*, forthcoming.
- Laux, V., and P. C. Stocken. "Managerial reporting, overoptimism, and litigation risk." *Journal of Accounting & Economics*, Volume 53, Issue 3 (June 2012): 577–591.
- Fischer, P. E., and P. C. Stocken. "Analyst information Acquisition and Communication" *The Accounting Review*, Vol. 85, No. 6 (November 2010): 1985-2009.
- Morgan, J., and P. C. Stocken. "Information Aggregation in Polls." *American Economic Review*, Vol. 98, No. 3 (June 2008): 864-896.

- Reis, R., and P. C. Stocken. "Strategic Consequences of Historical Cost and Fair Value Measurements." *Contemporary Accounting Research*, Vol. 24, No. 2 (Summer 2007): 557-584.
- Rogers, J. L., and P. C. Stocken. "Credibility of Management Forecasts." *The Accounting Review*, Vol. 80, No. 4 (October 2005): 1233-1260.
- Fischer, P. E., and P. C. Stocken. "Effect of Investor Speculation on Earnings Management." *Journal of Accounting Research*, Vol. 42, No. 5 (December 2004): 843-870.
- Stocken, P. C., and R. E. Verrecchia. "Financial Reporting System Choice and Disclosure Management." *The Accounting Review*, Vol. 79, No. 4 (October 2004): 1181-1203.
- Morgan, J., and P. C. Stocken. "An Analysis of Stock Recommendations." *The RAND Journal of Economics*, Vol. 34, No. 1 (Spring 2003): 183-203.
- Fischer, P. E., and P. C. Stocken. "Imperfect Information and Credible Communication." *Journal of Accounting Research*, Vol. 39, No. 1 (June 2001): 119-134.
- Stocken, P. C. "Credibility of Voluntary Disclosure." *The RAND Journal of Economics*, Vol. 31, No. 2 (Summer 2000): 359-374.
- Stocken, P. C., and R. E. Verrecchia. "Performance Monitoring and Financial Disclosure Choice." *Journal of Institutional and Theoretical Economics*, Vol. 155, No. 1 (March 1999): 214-232.
- Morgan, J., and P. Stocken. "The Effects of Business Risk on Audit Pricing." *Review of Accounting Studies*, Vol. 3, No. 4 (1998): 365-385.

Other articles:

- P.C. Stocken. "Strategic Accounting Disclosure." (April 2013). *Foundations and Trends in Accounting*, forthcoming.
- Stocken, P.C. "Discussion of 'Interactive Discretionary Disclosures'." *Contemporary Accounting Research*, Vol. 29, No. 2 (Summer 2012): 398-402.
- Bens, D. ; M. T. Bradshaw (Chair); C. Callahan; E. Gordon; B. Laux; S. McVay; G. Miller; R. Pfeiffer (Principal Co-author); M. Plumlee; C. Shakespeare; P. Stocken (Principal Co-author); W. Thomas (Principal Co-author); F. Wong. "Response to the Exposure Draft on Revenue Recognition (Topic 605)," FASB File Reference No. 1820-100. (October 2010).
- Bradshaw, M.T., Callahan, C.M., Ciesielski, J. T., Gordon, E. (principal co-author), Hodder, L.D., Hopkins, P.E., Kohlbeck, M.J., Laux, R., McVay, S., Stober, T.L., Stocken, P.C. and Yohn, T. L. (principal co-author). "Response to the SEC's Proposed Rule—Roadmap for the Potential Use of Financial Statements Prepared in Accordance with International Financial Reporting Standards (IFRS) by U.S. Issuers." *Accounting Horizons*, Vol. 24, No. 1 (March 2010): 117-128.

Hopkins, P.E. (chair and principal co-author), Botosan, C.A., Bradshaw, M.T. (principal co-author), Callahan, C.M., Ciesielski, J.T., Farber, D.B., Kohlbeck, M.J., Hodder, L.D. (principal co-author), Laux, B., Stober, T.L., Stocken, P.C. and Yohn, T.L. "Response to the FASB's Preliminary Views on Financial Instruments with the Characteristics of Equity." *Accounting Horizons*, Vol. 23, No. 1 (March 2009): 85-100.

Hopkins, P.E. (chair), Bradshaw, M.; Callahan, C.; Ciesielski, J.; Gordon, E. (principal co-author), Kohlbeck, M.; Hodder, L.; Laux, R.; McVay, S.; Stober, T.; Stocken, P. (principal co-author); and Yohn, T. "Response to the Conceptual Framework for Financial Reporting: The Objective of Financial Reporting and Qualitative Characteristics and Constraints of Decision-Useful Financial Reporting Information." FASB File Reference No. 1570-100. (September 2008).

\_\_\_\_\_ "Response to the Conceptual Framework for Financial Reporting: The Reporting Entity." FASB File Reference No. 1580-100. (September 2008).

Hopkins, P.E. (chair), Botosan, C.A. (principal co-author), Bradshaw, M.T., Callahan, C.M., Ciesielski, J.T., Farber, D.B., Kohlbeck, M.J., Hodder, L.D., Laux, B., Stober, T.L., Stocken, P.C. and Yohn, T.L. (principal co-author). "Response to the SEC Release, 'Acceptance from Foreign Private Issuers of Financial Statements Prepared in Accordance with International Financial Reporting Standards Without Reconciliation to U.S. GAAP File No. S7-13-07'." *Accounting Horizons*, Vol. 22, No. 2 (June 2008): 223-240.

Stocken, P.C., "Futures Contracts - Do They Constitute Trading Stock?" *Tax Planning*, Vol. 5, (January 1991), 17-20.

Stocken, P.C., "Joint Ventures - Proportionate Consolidation." *Accountancy SA*, Vol. 5, (November 1988), 364-366.

#### Working papers:

Laux, V., and P. C. Stocken. "Accounting Standards, Regulatory Enforcement, and Investment Decisions." (April 2013).

Sansing, R. C., and P. C. Stocken. "Corporate Governance in a Competitive Environment." (September 2011). Revise and resubmit requested at *Journal of Accounting and Public Policy*.

Hutton, A. P., and P. C. Stocken. "Prior Forecasting Accuracy and Investor Reaction to Management Earnings Forecasts." (December 2010).

## TEACHING EXPERIENCE AND PERFORMANCE

### Dartmouth College:

- Financial Measurement, Analysis and Reporting core course at the MBA level
- Advanced Issues in Financial Reporting elective course at the MBA level
- Master of Engineering Management - Financial Accounting – ENGM 179
- MBA South African learning Expedition, March 2010, 2012, 2013.

Tuck Back-in-Business Program; Tuck Business Bridge Program; Tuck Executive Program; Minority Business Executive Programs; Gateway to Business Management Program; Business Engagement and the Information Security Professional Program, and various custom programs

Tuck Class of 2011 Award for Teaching Excellence – 2011 (inaugural teaching award at Tuck)

### University of Bern, Switzerland:

- Selected topics in a Master Accounting and Doctoral Accounting Theory Course, December 2004

### University of Basel, Switzerland:

- Selected topics in a Doctoral Accounting Theory Course, June 2013

### University of Pennsylvania, Wharton School:

- Fundamentals of Financial Accounting at the MBA level
- Selected topics in a Doctoral Accounting Theory Course - fall 2000, fall 2002
- Wharton Business Journalists Seminar - December 2002
- Intermediate Financial Accounting I at undergraduate level

Wharton School Graduate Division Miller-Sherrerd MBA Core Teaching Award - 2002, 2003

Wharton Graduate Association Teaching Award - fall 2001

MBA Core Curriculum Teaching Award - fall 1999, fall 2000

### The Pennsylvania State University:

- Intermediate Financial Accounting I at the undergraduate level
- Introductory Managerial Accounting at the undergraduate level

## SERVICE TO THE PROFESSION

Co-Editor: *The Accounting Review* (June 2011 – present)

### Editorial Board:

*The Accounting Review*: 2005 – 2011

*Review of Accounting Studies*: 2003 – present

Reviewer for the National Science Foundation

Reviewer for: *American Economic Review*; *The Accounting Review*; *Auditing: A Journal of Practice & Theory*; *Contemporary Accounting Research*; *Economic Journal*; *The European Accounting Review*; *Journal of Accounting & Economics*; *Journal of Accounting Research*; *Journal of Economics and Management Strategy*; *Journal of Economic Theory*; *Journal of the European Economic Association*; *Journal of Finance*; *Games and Economic Behavior*; *Journal of Law, Economics, & Organization*; *Management Science*; *RAND Journal of Economics*; *Review of Accounting Studies*; *Review of Economic Studies*; *Review of Financial Studies*

Reviewer for: American Accounting Association annual meetings: 1999 – 2010  
American Accounting Association – management accounting section: 2002  
American Accounting Association – audit section mid-year meeting: 2004  
American Accounting Association – financial reporting section mid-year meeting: 2005, 2006, 2008

Served as a reviewer for tenure and promotion cases

American Accounting Association:

- Financial Valuation Task Force member, 2008-9
- Notable Contributions to Accounting Literature Award Screening Committee member, 2006-7
- Competitive Manuscript Award Committee member, 2004-5
- Research Advisory Committee member, 2002-3, 2003-4
- Doctoral Consortium Committee member, 2001-2
- Notable Contributions to Accounting Literature Award Screening Committee member, 2001-2

Financial Accounting and Reporting Section of the American Accounting Association:

- 2010 Annual meetings FARS paper selection committee
- Financial Reporting Policy Committee, 2007-8, 2008-9, 2009-10, 2010-2011
- Best Paper Award Committee, 2007-8
- Best Dissertation Award committee, 2006-7
- 2007 Annual meetings FARS paper selection committee
- 2006 Mid-year meeting research session selection team member
- Steering Board member, 2001-3, 2004-6
- Best Paper Award Committee chairperson, 2003-4

Financial Accounting Standards Board/ International Accounting Standards Board - Financial Reporting Issues Conference

- Planning Committee for 2009 FASB/IASB Financial Reporting Issues Conference
- Breakout group discussion leader, December 2005, December 2008, December 2010
- Breakout group discussion leader and panelist, December 2004

Grader for 1991 Final Qualifying Board Examination - South African Public Accountants' and Auditors' Board

## OTHER SERVICE

### Dartmouth College:

Tuck Executive Committee (elected by the faculty): 2007-8; 2011-12  
Tuck Admissions Committee: 2012-13 (Chair)  
Tuck MBA Program Task Force: 2012-13  
Tuck Educational Experience Task Force: 2012 (Chair)  
Tuck Curriculum Committee: 2004-5; 2008-9; 2010-11  
Dartmouth College President Preliminary Search Committee - Tuck representative: April 2008  
Tuck Core Curriculum Committee: 2007-8  
Tuck Center for Private Equity and Entrepreneurship - Private Equity Leadership Summit conference committee, panel moderator, and panel member, June 2007  
Dartmouth LEAD program presentation: July 2007, July 2008, July 2009, June 2010, June 2011, July 2012  
Tuck strategy monitoring committee: 2005-6; 2006-7; 2007-8; 2012-2013.  
Thayer School Masters in Engineering Management (MEM) projects review board: 2005, 2009  
Accounting tenure track position search committee: 2003/4, 4/5, 5/6, 6/7, 7/8, 8/9, 9/10, 10/11, 11/12, 12/13.

### University of Pennsylvania:

Ph.D. Dissertation Committee member:

- Jonathan Rogers, 2003-2005 (initial appointment – University of Chicago)
- Ricardo Reis, 2003-2005 (initial appointment – Catholic University of Lisbon)

Ph.D. Summer Paper Supervisor: Ricardo Reis, 2000; Jonathan Rogers, 2001

Presentation to Beta Alpha Psi Accounting Fraternity, February 2000

Reviewed application material for:

- Position of assistant professor in accounting – February 2000, January 2002
- Admission to the accounting doctoral program – April 1999, February 2001

Member of Research Programs Policy Committee, 1999-2000

### Other:

Interviewed by:

- The Bloomberg Forum – October 25, 2002
- The Orange County Register – April 6, 2003

Interviewed in Knowledge@Wharton articles:

- “How Credible are Management Forecasts? Not Very” August 2002
- “Lawyers and accountants can expect curbs and compromises in new SEC rules” January 2003

Presentation to the National Investor Relations Institute – Philadelphia Chapter, February 2001

## PRESENTATIONS

University of Chicago Theory Workshop, October 2013 (scheduled)  
Duke University, October 2013 (scheduled)  
Ohio State University, September 2013 (scheduled)  
University of Basel Accounting Theory Conference – Key note speaker, June 2013  
University of Basel Accounting Doctoral Workshop – Key note speaker, June 2013  
Michigan State University, April 2013  
Indiana University, October 2012  
New York University, September 2012  
University of Toronto Accounting Conference, September 2012  
Tel Aviv International Conference in Accounting, June 2012  
Keizai Koho Center Symposium, Tokyo, June 2012  
Columbia University (Burton Workshop), November 2011  
American Accounting Association Annual Meeting, Denver, August 2011  
New York University, May 2011  
Carnegie Mellon University, April 2011  
Northwestern University, March 2011  
George Washington University, March 2011  
American Accounting Association Annual Meeting Presenter, San Francisco, August 2010  
Stanford University Summer Camp July 2010  
Lancaster University May 2010  
University of Colorado at Boulder April 2010  
University of the Witwatersrand, Johannesburg, March 2010  
University of Utah (Winter Conference) discussant, February 2010  
American Accounting Association Annual Meeting Presenter & Discussant, New York, August 2009  
University of Utah (Winter Conference), February 2009  
American Accounting Association Annual Meeting Discussant, Anaheim, August 2008  
E.I.A.S.M. Accounting and Economics Workshop, Milan, June 2008  
Columbia University, May 2008  
University of California – Los Angeles, May 2008  
University of Texas, April 2008  
Emory University, February 2008  
Northwestern University, Managerial Economics and Decision Sciences Department, Workshop on  
Communication, Game Theory, and Language, September 2007  
American Accounting Association Annual Meeting, Chicago, August 2007  
Georgia State University, March 2007  
American Accounting Association Financial Reporting Mid-year Meeting, San Antonio, January  
2007  
University of Georgia, December 2006  
Columbia University (Burton Workshop), October 2006  
American Accounting Association Annual Meeting, Washington D.C., August 2006  
Stanford University, May 2006  
Emory University, April 2006  
University of Illinois, April 2006  
Dartmouth College, November 2005

*Contemporary Accounting Research* Conference, November 2005  
American Accounting Association Annual Meeting, San Francisco, August 2005  
Indiana University, April 2005  
American Accounting Association Financial Reporting Mid-year Meeting, San Diego, January 2005  
University of Bern, December 2004  
The Pennsylvania State University, November 2004  
University of Chicago: Accounting Theory Conference, October 2004  
Dartmouth College, October 2004  
American Accounting Association Annual Meeting Discussant, Orlando, August 2004  
Yale University, March 2004  
American Accounting Association Financial Reporting Mid-year Meeting, Austin, January 2004  
Rice University, November 2003  
American Accounting Association Annual Meeting, Hawaii, August 2003  
New York University, May 2003  
University of Houston, March 2003  
University of Iowa, February 2003  
Dartmouth College, February 2003  
University of Washington, February 2003  
American Accounting Association Financial Reporting Mid-year Meeting, Orlando, January 2003  
Duke University, November 2002  
*Contemporary Accounting Research* Conference, November 2002 - discussant  
Rutgers – Newark and New Brunswick, October 2002  
University of Pennsylvania, October 2002  
Ohio State University, October 2002  
Carnegie Mellon University, September 2002  
University of Florida, September 2002  
E.I.A.S.M. Accounting and Economics V Workshop, Madrid, June, 2002  
University of Chicago, January 2002  
University of Minnesota, October 2001  
American Accounting Association Annual Meeting Discussant, Atlanta, August 2001  
European Accounting Association Annual Meeting, Athens, April 2001  
Columbia University, November 2000  
American Accounting Association Annual Meeting, Philadelphia, August 2000  
European Accounting Association Annual Meeting, Munich, March 2000  
University of Pennsylvania, March 2000  
Drexel University, November 1999  
Northwestern University, November 1999  
CUNY – Baruch College, October 1999  
The Tenth Annual Conference on Financial Economics and Accounting, October 1999  
American Accounting Association Annual Meeting Discussant, San Diego, August 1999  
Duke University, December 1998  
Washington University, November 1998  
The Ninth Annual Conference on Financial Economics and Accounting, November 1998  
New York University, November 1998  
University of Minnesota, October 1998



University of Pennsylvania: Accounting Workshop, September 1998  
American Accounting Association Annual Meeting Discussant, New Orleans, August 1998  
Stanford University, April 1998  
University of Pennsylvania: Corporate Finance Workshop, April 1998  
American Accounting Association Midwest Regional Meeting, St. Louis, April 1998  
University of Michigan, January 1998  
The Eighth Annual Conference on Financial Economics and Accounting, November 1997  
American Accounting Association Annual Meeting, Dallas, August 1997  
The European Accounting Association Doctoral Consortium, Austria, April 1997  
London Business School, April 1997  
Harvard University, March 1997  
University of Rochester, March 1997  
University of Southern California, March 1997  
University of California- Berkeley, March 1997  
University of Chicago, March 1997  
Carnegie Mellon University, March 1997  
University of Pennsylvania, February 1997  
University of North Carolina, February 1997  
The Pennsylvania State University: Department of Accounting Colloquium, January 1997  
Department of Economics Workshop, February 1996  
Department of Accounting Colloquium, February 1996  
Department of Economics Workshop, May 1995  
Department of Accounting Colloquium, June 1995  
American Accounting Association Annual Meeting, Chicago, August 1996

### CONFERENCE ATTENDANCE

University of Basel Accounting Conference – Key note speaker, June 2013 (invited)  
Center for Accounting Research and Education, Leesburg, VA, April 2013 (invited)  
University of Utah Winter Conference, February 2013 (invited)  
Financial Accounting Standards Board / International Accounting Standards Board - Financial Reporting Issues Conference, December 2012 (invited)  
*Journal of Accounting & Economics* Conference, November 2012 (invited)  
University of Toronto Accounting Conference, September 2012 (invited)  
University of Chicago Accounting Theory Conference, September 2012 (invited)  
Tel Aviv International Conference in Accounting, June 2012 (invited)  
Keizai Koho Center Invitation Program for U.S. Business School Professors, Tokyo, June 2012 (invited)  
*Journal of Accounting Research* Conference, May 2012 (invited)  
University of Utah Winter Conference, February 2012 (invited)  
Financial Accounting Standards Board / International Accounting Standards Board - Financial Reporting Issues Conference, December 2011 (invited)  
Columbia University - Burton Workshop, October 2011 (invited)  
*Journal of Accounting & Economics* Conference, October 2011 (invited)  
Carnegie Mellon University Accounting Conference, September 2011 (invited)

American Accounting Association Annual Meeting, Denver, August 2011  
University of Colorado Summer Camp, August 2011 (invited)  
New York University Summer Camp May 2011 (invited)  
*Journal of Accounting Research* Conference, May 2011 (invited)  
Center for Accounting Research and Education / Center for Excellence in Accounting and Security  
Analysis Conference, April 2011 (invited)  
University of Utah Winter Conference, February 2011 (invited)  
Financial Accounting Standards Board / International Accounting Standards Board - Financial  
Reporting Issues Conference, December 2010 (invited)  
Duke / University of North Carolina Fall Camp, October 2010 (invited)  
*Journal of Accounting & Economics* Conference, October 2010 (invited)  
University of Chicago: Accounting Theory Conference, September 2010 (invited)  
American Accounting Association Annual Meeting, San Francisco, August 2010  
Stanford University Summer Camp July 2010 (invited)  
University of Utah Winter Conference, February 2010 (invited)  
Financial Accounting Standards Board / International Accounting Standards Board - Financial  
Reporting Issues Conference, December 2009 (invited)  
Carnegie Mellon University Accounting Conference, September 2009 (invited)  
American Accounting Association Annual Meeting, New York, August 2009  
University of Utah Winter Conference, February 2009 (invited)  
American Accounting Association / Financial Accounting Standards Board / International  
Accounting Standards Board - Financial Reporting Issues Conference: breakout group  
discussion leader, December 2008 (invited)  
University of Chicago Accounting Theory Conference, October 2008 (invited)  
Carnegie Mellon University Accounting Conference, September 2008 (invited)  
American Accounting Association Annual Meeting, Anaheim, August 2008  
E.I.A.S.M. Accounting and Economics Workshop, Milan, June, 2008  
Accounting Theory Conference at the University of Texas, April 2008 (invited)  
American Accounting Association / Financial Accounting Standards Board - Financial Reporting  
Issues Conference December 2007 (invited)  
*Contemporary Accounting Research* Conference, November 2007 (invited)  
University of Minnesota Accounting Theory Conference, October 2007 (invited)  
Northwestern University, Managerial Economics and Decision Sciences Department -  
Interdisciplinary workshop on the study of Communication, Game theory, and Language,  
September, 2007 (invited)  
American Accounting Association Annual Meeting, Chicago, August 2007  
The Pennsylvania State University Accounting Research Conference, May 2007 (invited)  
University of Utah Winter Conference, February 2007 (invited)  
American Accounting Association Financial Reporting Mid-year Meeting, San Antonio, January  
2007  
American Accounting Association / Financial Accounting Standards Board - Financial Reporting  
Issues Conference December 2006 (invited)  
University of Chicago Accounting Theory Conference, November 2006 (invited)  
Columbia University - Burton Workshop, October 2006 (invited)  
Carnegie Mellon University Accounting Conference, August 2006 (invited)  
American Accounting Association Annual Meeting, Washington D.C., August 2006

*Journal of Accounting Research* Conference, May 2006 (invited)  
Financial Statement Analysis & Valuation Conference: Center for Accounting Research and Education - University of Notre Dame, April 2006 (invited)  
American Accounting Association Financial Reporting Mid-year Meeting, Atlanta, January 2006  
American Accounting Association / Financial Accounting Standards Board - Financial Reporting Issues Conference: breakout group discussion leader, December 2005 (invited)  
*Contemporary Accounting Research* Conference, November 2005 (invited)  
*Review of Accounting Studies*, Columbia University, October 2005 (invited)  
University of Minnesota Accounting Theory Conference, October 2005 (invited)  
Carnegie Mellon University Accounting Conference, August 2005 (invited)  
American Accounting Association Annual Meeting, San Francisco, August 2005  
PricewaterhouseCoopers Faculty Symposium, August 2005 (invited)  
American Accounting Association Financial Reporting Mid-year Meeting, San Diego, January 2005  
American Accounting Association / Financial Accounting Standards Board - Financial Reporting Issues Conference, December 2004: panelist and breakout group discussion leader (invited)  
*Contemporary Accounting Research* Conference, November 2004 (invited)  
University of Chicago: Accounting Theory Conference, October 2004 (invited)  
*Review of Accounting Studies*, Notre Dame University, September 2004 (invited)  
Carnegie Mellon University Accounting Conference, August 2004 (invited)  
American Accounting Association Annual Meeting, Orlando, August 2004  
PricewaterhouseCoopers Faculty Symposium, August 2004 (invited)  
*Journal of Accounting Research* Conference, May 2004 (invited)  
American Accounting Association Financial Reporting Mid-year Meeting, Austin, January 2004  
*Contemporary Accounting Research* Conference, November 2003 (invited)  
American Accounting Association Annual Meeting, Hawaii, August 2003  
PricewaterhouseCoopers Faculty Symposium, August 2003 (invited)  
American Accounting Association Financial Reporting Mid-year Meeting, Orlando, January 2003  
*Journal of Accounting, Auditing and Finance* / KPMG Conference, January 2003 (invited)  
*Contemporary Accounting Research* Conference, November 2002 (invited)  
American Accounting Association Annual Meeting, San Antonio, August 2002  
PricewaterhouseCoopers Faculty Symposium, August 2002 (invited)  
E.I.A.S.M. Accounting and Economics V Workshop, Madrid, June, 2002  
Distinguished Resident Faculty at 2002 American Accounting Association Doctoral Consortium  
University of Minnesota: Accounting Theory Conference, October 2001 (invited)  
American Accounting Association Annual Meeting, Atlanta, August 2001  
PricewaterhouseCoopers Faculty Symposium, August 2001 (invited)  
European Accounting Association Annual Meeting, Athens, April 2001  
University of Minnesota: Accounting Theory Conference, October 2000 (invited)  
*Journal of Accounting, Auditing and Finance* / KPMG Conference, August 2000 (invited)  
American Accounting Association Annual Meeting, Philadelphia, August 2000  
European Accounting Association Annual Meeting, Munich, March 2000  
University of Texas: Center for Business Measurement and Assurance Services Conference, March 2000 (invited)  
The Tenth Annual Conference on Financial Economics and Accounting, October 1999  
American Accounting Association Annual Meeting, San Diego, August 1999

American Accounting Association New Faculty Consortium, February 1999  
The Ninth Annual Conference on Financial Economics and Accounting, November 1998  
University of Minnesota: Accounting Theory Conference, October 1998 (invited)  
American Accounting Association Annual Meeting, New Orleans, August 1998  
American Accounting Association Midwest Regional Meeting, St. Louis, April 1998  
The Eighth Annual Conference on Financial Economics and Accounting, November 1997  
American Accounting Association Annual Meeting, Dallas, August 1997  
The European Accounting Association Annual Meeting, Austria, April 1997  
The European Accounting Association Doctoral Consortium, Austria, April 1997 (selected)  
American Accounting Association Annual Meeting, Chicago, August 1996  
Harvard Business School: Fourth Summer Financial Decisions and Control Workshop, July 1996  
(invited)  
American Accounting Association Doctoral Consortium participant, June 1996 (selected)  
Big Ten Doctoral Consortium participant, May 1995 (selected)

### GRANTS

Rodney L. White Center for Financial Research Grant - May 2001, June 2002

### HONORS AND AWARDS

Tuck Class of 2011 Award for Teaching Excellence, June 2011. (Inaugural teaching award at Tuck)

Co-Editor: *The Accounting Review* (effective June 1, 2011)

Wharton School Graduate Division Miller-Sherrerd MBA Core Teaching Award, April 2003

Paper titled "Credibility of Management Forecasts" awarded Geewax, Terker & Company second prize in investment research for 2002

Distinguished Resident Faculty at 2002 American Accounting Association Doctoral Consortium

Wharton School Graduate Division Miller-Sherrerd MBA Core Teaching Award, April 2002

Wharton Graduate Association Teaching Award, fall 2001

Wharton Graduate Association MBA Core Curriculum Teaching Award, fall 2000

University of Pennsylvania: awarded the Harold Stott Term Assistant Professorship; effective July 1, 2000

Wharton Graduate Association MBA Core Curriculum Teaching Award, fall 1999

American Accounting Association Representative to the European Accounting Association's Doctoral Consortium in April 1997

Deloitte & Touche Doctoral Fellowship for 1996-7

1996 American Accounting Association Doctoral Consortium Representative

1995 Big Ten Doctoral Consortium Representative

G. Kenneth Nelson Graduate Fellowship awarded for 1993, 1994, 1995, and 1996

G. H. R. Edmunds Memorial Fellowship awarded in 1991 by the University of the Witwatersrand for travel to the U.S.A.

Scholarship for Part-time study for a Master's Degree granted by the Human Science Research Council for 1988-9

Book Prize awarded by the South African Institute of Chartered Accountants for achieving sixth place in the 1988 Public Accountants' and Auditors' Board Examination

Awarded the following prizes by the University of the Witwatersrand in 1988:

Chartered Accountant's Prize for the most outstanding student in the degree of Bachelor of Accountancy or Bachelor of Commerce with Honors in Accounting

Prizes or certificates received for: obtaining a first class pass in Financial Accounting; obtaining the highest marks in Auditing; and outstanding work in Managerial Accounting

Placed third in 1988 South African Yacht Racing Association National Championship – Halcat Catamaran class

Chief Scout's Award received in 1983 from the Boy Scout Association of South Africa for Service to community, Boy Scout movement, and personal achievement

### EMPLOYMENT HISTORY

<u>Employer</u>	<u>Appointment</u>
Dartmouth College (July 2013 – present)	Associate Dean for the MBA Program
Dartmouth College (June 2011 – present)	Professor of Accounting
Dartmouth College (July 2006 – June 2011)	Associate Professor of Business Administration (with tenure)

Dartmouth College  
(July 2003 – June 2006)

Associate Professor of Business Administration

University of Pennsylvania  
(July 2000 – June 2003)

Harold Stott Term Assistant Professor of  
Accounting

University of Pennsylvania  
(July 1997 – June 2000)

Assistant Professor of Accounting

Pennsylvania State University  
(August 1991 – June 1997)

Doctoral student, graduate teaching and research  
assistant

Ernst & Young, Johannesburg  
(January 1989 – July 1991)

Assistant audit manager (final position)

University of the Witwatersrand,  
Johannesburg  
(January 1988 – December 1988)

South African Institute of Chartered Accountants  
academic articles of clerkship / Temporary junior  
lecturer